

## Tuning Apache Spark

Date published: 2021-02-29

Date modified: 2021-03-19



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## Introduction

This section provides information about evaluating and tuning Spark performance.

When tuning Apache Spark applications, it is important to understand how Spark works and what types of resources your application requires. For example, machine learning tasks are usually CPU intensive, whereas extract, transform, load (ETL) operations are I/O intensive.

## Check Job Status

If a job takes longer than expected or does not finish successfully, check the following to understand more about where the job stalled or failed:

- To list running applications by ID from the command line, use `yarn application -list`.
- To see a description of a resilient distributed dataset (RDD) and its recursive dependencies (useful for understanding how jobs are executed) use `toDebugString()` on the RDD.
- To check the query plan when using the DataFrame API, use `DataFrame#explain()`.

## Check Job History

You can use the following resources to view job history:

- Spark History Server UI: view information about Spark jobs that have completed.
  1. In the Cloudera Data Platform (CDP) Management Console, go to Data Hub Clusters.
  2. Find and select the cluster you want to configure.
  3. Click the Spark History Server link.
- YARN web UI: view job history and time spent in various stages of the job:
  1. In the Cloudera Data Platform (CDP) Management Console, go to Data Hub Clusters.
  2. Find and select the cluster you want to configure.
  3. Click the Resource Manager link.
  4. Select the Applications tab.
- yarn logs command: list the contents of all log files from all containers associated with the specified application:

```
yarn logs -applicationId <app_id>
```

## Improving Software Performance

### About this task

To improve Spark performance, assess and tune the following operations:

- Minimize shuffle operations where possible.
- Match join strategy (`ShuffledHashJoin` vs. `BroadcastHashJoin`) to the table.

This requires manual configuration.

- Consider switching from the default serializer to the Kryo serializer to improve performance.  
This requires manual configuration and class registration.
- Adjust YARN memory allocation

### Configure YARN Memory Allocation for Spark

This section describes how to manually configure YARN memory allocation settings based on node hardware specifications.

YARN evaluates all available compute resources on each machine in a cluster and negotiates resource requests from applications running in the cluster. YARN then provides processing capacity to each application by allocating containers. A container is the basic unit of processing capacity in YARN; it is an encapsulation of resource elements such as memory (RAM) and CPU.

In a Hadoop cluster, it is important to balance the use of RAM, CPU cores, and disks so that processing is not constrained by any one of these cluster resources.

When determining the appropriate YARN memory configurations for Spark, note the following values on each node:

- RAM (amount of memory)
- CORES (number of CPU cores)

When configuring YARN memory allocation for Spark, consider the following information:

- Driver memory does not need to be large if the job does not aggregate much data (as with a `collect()` action).
- There are tradeoffs between `num-executors` and `executor-memory`.

Large executor memory does not imply better performance, due to JVM garbage collection. Sometimes it is better to configure a larger number of small JVMs than a small number of large JVMs.

- Executor processes are not released if the job has not finished, even if they are no longer in use.

Therefore, do not overallocate executors above your estimated requirements.

In `yarn-cluster` mode, the Spark driver runs inside an application master process that is managed by YARN on the cluster. The client can stop after initiating the application. The following example shows starting a YARN client in `yarn-cluster` mode, specifying the number of executors and associated memory and core, and driver memory. The client starts the default Application Master, and SparkPi runs as a child thread of the Application Master. The client periodically polls the Application Master for status updates and displays them on the console.

```
./bin/spark-submit --class org.apache.spark.examples.SparkPi \  
  --master yarn-cluster \  
  --num-executors 3 \  
  --driver-memory 4g \  
  --executor-memory 2g \  
  --executor-cores 1 \  
  lib/spark-examples*.jar 10
```

In `yarn-client` mode, the driver runs in the client process. The application master is used only to request resources for YARN. To launch a Spark application in `yarn-client` mode, replace `yarn-cluster` with `yarn-client`. The following example launches the Spark shell in `yarn-client` mode and specifies the number of executors and associated memory:

```
./bin/spark-shell --num-executors 32 \  
  --executor-memory 24g \  
  --master yarn-client
```

## Tuning Apache Spark Applications

This topic describes various aspects in tuning the performance and scalability of Apache Spark applications. For general Spark tuning advice, consult the upstream Spark documentation. This topic focuses on performance aspects that are especially relevant when using Spark in the context of CDP clusters.

During tuning, monitor application behavior to determine the effect of tuning actions. You might see improvements that are directly relevant to the performance of your job, such as reduction in CPU usage, or reductions in resource usage that improve overall scalability within a multi-tenant cluster.

For information on monitoring Spark applications, see "Monitoring Spark Applications."

## Tuning Spark Shuffle Operations

A Spark dataset comprises a fixed number of partitions, each of which comprises a number of records. For the datasets returned by *narrow* transformations, such as map and filter, the records required to compute the records in a single partition reside in a single partition in the parent dataset. Each object is only dependent on a single object in the parent. Operations such as coalesce can result in a task processing multiple input partitions, but the transformation is still considered narrow because the input records used to compute any single output record can still only reside in a limited subset of the partitions.

Spark also supports transformations with *wide* dependencies, such as groupByKey and reduceByKey. In these dependencies, the data required to compute the records in a single partition can reside in many partitions of the parent dataset. To perform these transformations, all of the tuples with the same key must end up in the same partition, processed by the same task. To satisfy this requirement, Spark performs a shuffle, which transfers data around the cluster and results in a new stage with a new set of partitions.

For example, consider the following code:

```
sc.textFile("someFile.txt").map(mapFunc).flatMap(flatMapFunc).filter(filterFunc).count()
```

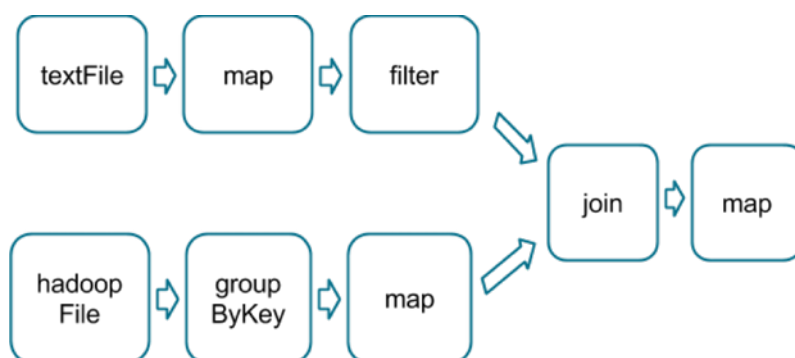
It runs a single action, count, which depends on a sequence of three transformations on a dataset derived from a text file. This code runs in a single stage, because none of the outputs of these three transformations depend on data that comes from different partitions than their inputs.

In contrast, this Scala code finds how many times each character appears in all the words that appear more than 1,000 times in a text file:

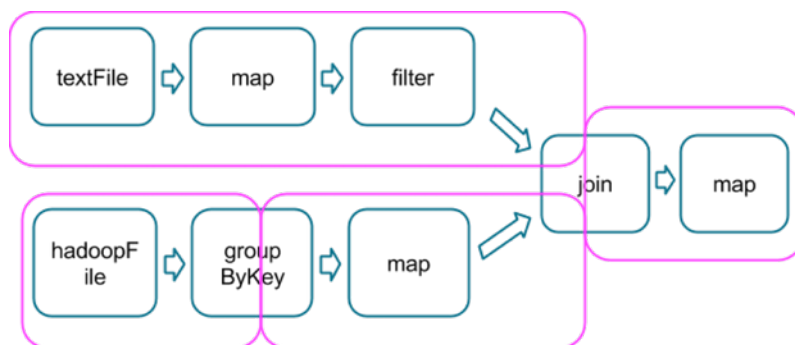
```
val tokenized = sc.textFile(args(0)).flatMap(_.split(' '))
val wordCounts = tokenized.map(_._1).reduceByKey(_ + _)
val filtered = wordCounts.filter(_._2 >= 1000)
val charCounts = filtered.flatMap(_._1.toCharArray).map(_._1).reduceByKey(_ + _)
charCounts.collect()
```

This example has three stages. The two reduceByKey transformations each trigger stage boundaries, because computing their outputs requires repartitioning the data by keys.

A final example is this more complicated transformation graph, which includes a join transformation with multiple dependencies:



The pink boxes show the resulting stage graph used to run it:



At each stage boundary, data is written to disk by tasks in the parent stages and then fetched over the network by tasks in the child stage. Because they incur high disk and network I/O, stage boundaries can be expensive and should be avoided when possible. The number of data partitions in a parent stage may be different than the number of partitions in a child stage. Transformations that can trigger a stage boundary typically accept a `numPartitions` argument, which specifies into how many partitions to split the data in the child stage. Just as the number of reducers is an important parameter in MapReduce jobs, the number of partitions at stage boundaries can determine an application's performance. "Tuning the Number of Partitions" describes how to tune this number.

## Choosing Transformations to Minimize Shuffles

You can usually choose from many arrangements of actions and transformations that produce the same results. However, not all these arrangements result in the same performance. Avoiding common pitfalls and picking the right arrangement can significantly improve an application's performance.

When choosing an arrangement of transformations, minimize the number of shuffles and the amount of data shuffled. Shuffles are expensive operations; all shuffle data must be written to disk and then transferred over the network. repartition, join, cogroup, and any of the `*By` or `*ByKey` transformations can result in shuffles. Not all these transformations are equal, however, and you should avoid the following patterns:

- `groupByKey` when performing an associative reductive operation. For example, `rdd.groupByKey().mapValues(_._sum)` produces the same result as `rdd.reduceByKey(_ + _)`. However, the former transfers the entire dataset across the network, while the latter computes local sums for each key in each partition and combines those local sums into larger sums after shuffling.

- `reduceByKey` when the input and output value types are different. For example, consider writing a transformation that finds all the unique strings corresponding to each key. You could use `map` to transform each element into a `Set` and then combine the `Sets` with `reduceByKey`:

```
rdd.map(kv => (kv._1, new Set[String]() + kv._2)).reduceByKey(_ ++ _)
```

This results in unnecessary object creation because a new set must be allocated for each record.

Instead, use `aggregateByKey`, which performs the map-side aggregation more efficiently:

```
val zero = new collection.mutable.Set[String]()  
rdd.aggregateByKey(zero)((set, v) => set += v, (set1, set2) => set1 ++= set2)
```

- `flatMap-join-groupBy`. When two datasets are already grouped by key and you want to join them and keep them grouped, use `cogroup`. This avoids the overhead associated with unpacking and repacking the groups.

## When Shuffles Do Not Occur

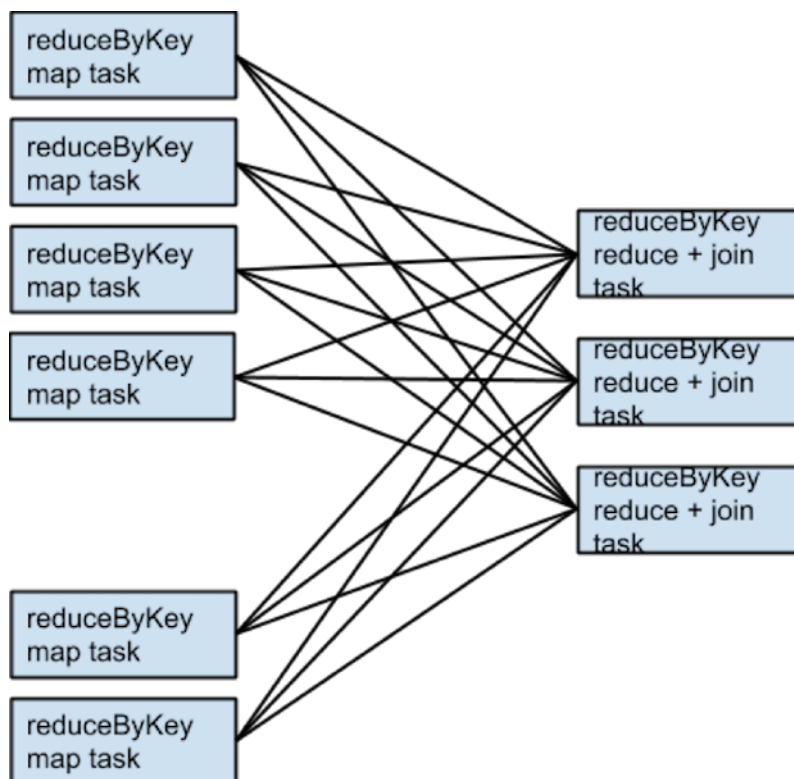
In some circumstances, the transformations described previously do not result in shuffles. Spark does not shuffle when a previous transformation has already partitioned the data according to the same partitioner. Consider the following flow:

```
rdd1 = someRdd.reduceByKey(...)  
rdd2 = someOtherRdd.reduceByKey(...)  
rdd3 = rdd1.join(rdd2)
```

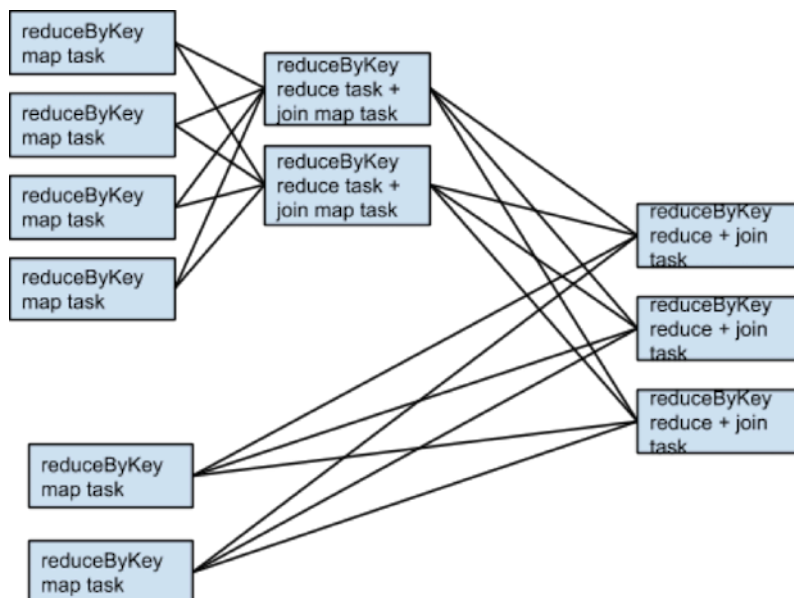
Because no partitioner is passed to `reduceByKey`, the default partitioner is used, resulting in `rdd1` and `rdd2` both being hash-partitioned. These two `reduceByKey` transformations result in two shuffles. If the datasets have the same number of partitions, a join requires no additional shuffling. Because the datasets are partitioned identically, the set of keys in any single partition of `rdd1` can only occur in a single partition of `rdd2`. Therefore, the contents of any single output partition of `rdd3` depends only on the contents of a single partition in `rdd1` and single partition in `rdd2`, and a third shuffle is not required.

For example, if `someRdd` has four partitions, `someOtherRdd` has two partitions, and both the `reduceByKey`s use three partitions, the set of tasks that run would look like this:





If rdd1 and rdd2 use different partitioners or use the default (hash) partitioner with different numbers of partitions, only one of the datasets (the one with the fewer number of partitions) needs to be reshuffled for the join:



To avoid shuffles when joining two datasets, you can use broadcast variables. When one of the datasets is small enough to fit in memory in a single executor, it can be loaded into a hash table on the driver and then broadcast to every executor. A map transformation can then reference the hash table to do lookups.

## When to Add a Shuffle Transformation

The rule of minimizing the number of shuffles has some exceptions.

An extra shuffle can be advantageous when it increases parallelism. For example, if your data arrives in a few large unsplittable files, the partitioning dictated by the InputFormat might place large numbers of records in each partition, while not generating enough partitions to use all available cores. In this case, invoking repartition with a high number of partitions (which triggers a shuffle) after loading the data allows the transformations that follow to use more of the cluster's CPU.

Another example arises when using the reduce or aggregate action to aggregate data into the driver. When aggregating over a high number of partitions, the computation can quickly become bottlenecked on a single thread in the driver merging all the results together. To lighten the load on the driver, first use `reduceByKey` or `aggregateByKey` to perform a round of distributed aggregation that divides the dataset into a smaller number of partitions. The values in each partition are merged with each other in parallel, before being sent to the driver for a final round of aggregation. See [treeReduce](#) and [treeAggregate](#) for examples of how to do that.

This method is especially useful when the aggregation is already grouped by a key. For example, consider an application that counts the occurrences of each word in a corpus and pulls the results into the driver as a map. One approach, which can be accomplished with the aggregate action, is to compute a local map at each partition and then merge the maps at the driver. The alternative approach, which can be accomplished with `aggregateByKey`, is to perform the count in a fully distributed way, and then simply `collectAsMap` the results to the driver.

## Secondary Sort

The `repartitionAndSortWithinPartitions` transformation repartitions the dataset according to a partitioner and, within each resulting partition, sorts records by their keys. This transformation pushes sorting down into the shuffle machinery, where large amounts of data can be spilled efficiently and sorting can be combined with other operations.

For example, Apache Hive on Spark uses this transformation inside its join implementation. It also acts as a vital building block in the secondary sort pattern, in which you group records by key and then, when iterating over the values that correspond to a key, have them appear in a particular order. This scenario occurs in algorithms that need to group events by user and then analyze the events for each user, based on the time they occurred.

## Tuning Resource Allocation

For background information on how Spark applications use the YARN cluster manager, see "Running Spark Applications on YARN."

The two main resources that Spark and YARN manage are CPU and memory. Disk and network I/O affect Spark performance as well, but neither Spark nor YARN actively manage them.

Every Spark executor in an application has the same fixed number of cores and same fixed heap size. Specify the number of cores with the `--executor-cores` command-line flag, or by setting the `spark.executor.cores` property. Similarly, control the heap size with the `--executor-memory` flag or the `spark.executor.memory` property. The `cores` property controls the number of concurrent tasks an executor can run. For example, set `--executor-cores 5` for each executor to run a maximum of five tasks at the same time. The `memory` property controls the amount of data Spark can cache, as well as the maximum sizes of the shuffle data structures used for grouping, aggregations, and joins.

Dynamic allocation, which adds and removes executors dynamically, is enabled by default. To explicitly control the number of executors, you can override dynamic allocation by setting the `--num-executors` command-line flag or `spark.executor.instances` configuration property.

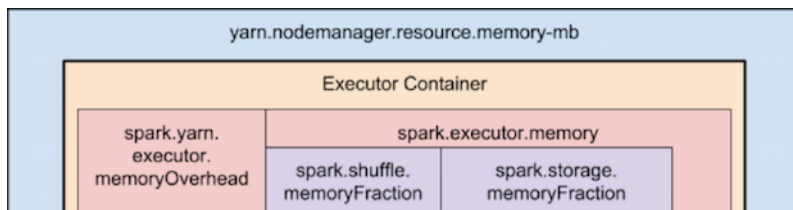
Consider also how the resources requested by Spark fit into resources YARN has available. The relevant YARN properties are:

- `yarn.nodemanager.resource.memory-mb` controls the maximum sum of memory used by the containers on each host.
- `yarn.nodemanager.resource.cpu-vcores` controls the maximum sum of cores used by the containers on each host.

Requesting five executor cores results in a request to YARN for five cores. The memory requested from YARN is more complex for two reasons:

- The `--executor-memory/spark.executor.memory` property controls the executor heap size, but executors can also use some memory off heap, for example, Java NIO direct buffers. The value of the `spark.yarn.executor.memoryOverhead` property is added to the executor memory to determine the full memory request to YARN for each executor. It defaults to  $\max(384, .1 * \text{spark.executor.memory})$ .
- YARN may round the requested memory up slightly. The `yarn.scheduler.minimum-allocation-mb` and `yarn.scheduler.increment-allocation-mb` properties control the minimum and increment request values, respectively.

The following diagram (not to scale with defaults) shows the hierarchy of memory properties in Spark and YARN:



Keep the following in mind when sizing Spark executors:

- The ApplicationMaster, which is a non-executor container that can request containers from YARN, requires memory and CPU that must be accounted for. In *client* deployment mode, they default to 1024 MB and one core. In *cluster* deployment mode, the ApplicationMaster runs the driver, so consider bolstering its resources with the `--driver-memory` and `--driver-cores` flags.
- Running executors with too much memory often results in excessive garbage-collection delays. For a single executor, use 64 GB as an upper limit.
- The HDFS client has difficulty processing many concurrent threads. At most, five tasks per executor can achieve full write throughput, so keep the number of cores per executor below that number.
- Running tiny executors (with a single core and just enough memory needed to run a single task, for example) offsets the benefits of running multiple tasks in a single JVM. For example, broadcast variables must be replicated once on each executor, so many small executors results in many more copies of the data.

## Resource Tuning Example

Consider a cluster with six hosts running NodeManagers, each equipped with 16 cores and 64 GB of memory.

The NodeManager capacities, `yarn.nodemanager.resource.memory-mb` and `yarn.nodemanager.resource.cpu-vcores`, should be set to  $63 * 1024 = 64512$  (megabytes) and 15, respectively. Avoid allocating 100% of the resources to YARN containers because the host needs some resources to run the OS and Hadoop daemons. In this case, leave one GB and one core for these system processes. Cloudera Manager accounts for these and configures these YARN properties automatically.

You might consider using `--num-executors 6 --executor-cores 15 --executor-memory 63G`. However, this approach does not work:

- 63 GB plus the executor memory overhead does not fit within the 63 GB capacity of the NodeManagers.
- The ApplicationMaster uses a core on one of the hosts, so there is no room for a 15-core executor on that host.
- 15 cores per executor can lead to bad HDFS I/O throughput.

Instead, use `--num-executors 17 --executor-cores 5 --executor-memory 19G`:

- This results in three executors on all hosts except for the one with the ApplicationMaster, which has two executors.
- `--executor-memory` is computed as  $(63/3 \text{ executors per host}) = 21$ .  $21 * 0.07 = 1.47$ .  $21 - 1.47 \sim 19$ .

## Tuning the Number of Partitions

Spark has limited capacity to determine optimal parallelism. Every Spark stage has a number of tasks, each of which processes data sequentially. The number of tasks per stage is the most important parameter in determining performance.

As described in "Spark Execution Model," Spark groups datasets into stages. The number of tasks in a stage is the same as the number of partitions in the last dataset in the stage. The number of partitions in a dataset is the same as the number of partitions in the datasets on which it depends, with the following exceptions:

- The coalesce transformation creates a dataset with fewer partitions than its parent dataset.
- The union transformation creates a dataset with the sum of its parents' number of partitions.
- The cartesian transformation creates a dataset with the product of its parents' number of partitions.

Datasets with no parents, such as those produced by `textFile` or `hadoopFile`, have their partitions determined by the underlying MapReduce InputFormat used. Typically, there is a partition for each HDFS block being read. The number of partitions for datasets produced by `parallelize` are specified in the method, or `spark.default.parallelism` if not specified. To determine the number of partitions in an dataset, call `rdd.partitions().size()`.

If the number of tasks is smaller than number of slots available to run them, CPU usage is suboptimal. In addition, more memory is used by any aggregation operations that occur in each task. In `join`, `cogroup`, or `*ByKey` operations, objects are held in hashmaps or in-memory buffers to group or sort. `join`, `cogroup`, and `groupByKey` use these data structures in the tasks for the stages that are on the fetching side of the shuffles they trigger. `reduceByKey` and `aggregateByKey` use data structures in the tasks for the stages on both sides of the shuffles they trigger. If the records in these aggregation operations exceed memory, the following issues can occur:

- Increased garbage collection, which can lead to pauses in computation.
- Spilling data to disk, causing disk I/O and sorting, which leads to job stalls.

To increase the number of partitions if the stage is reading from Hadoop:

- Use the repartition transformation, which triggers a shuffle.
- Configure your InputFormat to create more splits.
- Write the input data to HDFS with a smaller block size.

If the stage is receiving input from another stage, the transformation that triggered the stage boundary accepts a `numPartitions` argument:

```
val rdd2 = rdd1.reduceByKey(_ + _, numPartitions = X)
```

Determining the optimal value for `X` requires experimentation. Find the number of partitions in the parent dataset, and then multiply that by 1.5 until performance stops improving.

You can also calculate `X` using a formula, but some quantities in the formula are difficult to calculate. The main goal is to run enough tasks so that the data destined for each task fits in the memory available to that task. The memory available to each task is:

```
(spark.executor.memory * spark.shuffle.memoryFraction * spark.shuffle.safetyFraction) / spark.executor.cores
```

`memoryFraction` and `safetyFraction` default to 0.2 and 0.8 respectively.

The in-memory size of the total shuffle data is more difficult to determine. The closest heuristic is to find the ratio between shuffle spill memory and the shuffle spill disk for a stage that ran. Then, multiply the total shuffle write by this number. However, this can be compounded if the stage is performing a reduction:

```
(observed shuffle write) * (observed shuffle spill memory) * (spark.executor.cores) / (observed shuffle spill disk) * (spark.executor.memory) * (spark.shuffle.memoryFraction) * (spark.shuffle.safetyFraction)
```

Then, round up slightly, because too many partitions is usually better than too few.

When in doubt, err on the side of a larger number of tasks (and thus partitions). This contrasts with recommendations for MapReduce, which unlike Spark, has a high startup overhead for tasks.

## Reducing the Size of Data Structures

Data flows through Spark in the form of records. A record has two representations: a deserialized Java object representation and a serialized binary representation. In general, Spark uses the deserialized representation for records in memory and the serialized representation for records stored on disk or transferred over the network. For sort-based shuffles, in-memory shuffle data is stored in serialized form.

The `spark.serializer` property controls the serializer used to convert between these two representations. Cloudera recommends using the Kryo serializer, `org.apache.spark.serializer.KryoSerializer`.

The footprint of your records in these two representations has a significant impact on Spark performance. Review the data types that are passed and look for places to reduce their size. Large deserialized objects result in Spark spilling data to disk more often and reduces the number of deserialized records Spark can cache (for example, at the `MEMORY` storage level). The Apache Spark tuning guide describes how to reduce the size of such objects. Large serialized objects result in greater disk and network I/O, as well as reduce the number of serialized records Spark can cache (for example, at the `MEMORY_SER` storage level.) Make sure to register any custom classes you use with the `SparkConf.registerKryoClasses` API.

## Choosing Data Formats

When storing data on disk, use an extensible binary format like Avro, Parquet, Thrift, or Protobuf and store in a [sequence file](#).